

Sheet 1 of 5

FORM PTO-1449 (Subst.)

ATTY. DOCKET NO.

SERIAL NO.

09/897 215

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

PAUL H. HARTMAN

RECEIVED

FILING DATE

7/02/2001

GROUP

SEP 21 36 35

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	360 MAR	FILING DATE IF APPROPRIATE
104	AA	3,760,548	9/25/73	SAUER	52	593	
	AB	4,738,072	4/19/88	CLEMENSON	52	742	
	AC	4,875,320	10/24/89	SPARKES	52	406	
	AD	5,724,780	3/10/98	BOLICH	52	407	
	AE	5,965,231	10/12/99	ROTERMUND	428	69	
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2.21.03

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Sheet 2 of 5

FORM PTO-1449 (Substitute)

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APPLICANT

PAUL H. HARTMAN

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SEP 21 20013635

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>10/8</u>	AA <u>3,788,013</u>	<u>1/24/74</u>	<u>VEEN</u>	<u>52</u>	<u>1</u>	
	AB <u>4,104,834</u>	<u>8/08/78</u>	<u>ANGHINETTI</u>	<u>52</u>	<u>1</u>	
	AC <u>5,027,741</u>	<u>7/02/91</u>	<u>SMITH</u>	<u>116</u>	<u>205</u>	
	AD <u>5,165,659</u>	<u>11/24/92</u>	<u>L'HEUREUX</u>	<u>254</u>	<u>17</u>	
	AE <u>5,483,956</u>	<u>1/16/96</u>	<u>SHAPIRO</u>	<u>128</u>	<u>206</u>	
	AF <u>5,927,990</u>	<u>7/27/99</u>	<u>WELCH</u>	<u>434</u>	<u>226</u>	
	AG <u>5,960,596</u>	<u>10/05/99</u>	<u>LYONS</u>	<u>52</u>	<u>200</u>	
	AH <u>6,114,948</u>	<u>9/05/00</u>	<u>ASTELL</u>	<u>340</u>	<u>332</u>	
	AI <u>6,003,609</u>	<u>12/21/99</u>	<u>WALLS</u>	<u>169</u>	<u>57</u>	
<u>10/8</u>	AJ <u>6,161,348</u>	<u>10/19/00</u>	<u>MORRIS</u>	<u>52</u>	<u>232</u>	
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APPLICANT

PAUL H. HARTMAN

FILING DATE

7/08/2001

GROUP

3635

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,244,355	1/13/81	STOUT	126	622	
	AB	4,327,707	5/4/82	WILHELM	126	627	
	AC	5,062,247	11/05/91	DITTMER	52	200	
	AD	5,134,827	8/04/92	HARTMAN	52	586	
	AE	5,204,777	4/20/93	CURSHOD	359	496	
	AF	5,323,576	6/28/94	GUMPERT	52	200	
	AG	5,617,682	4/08/97	CHRISTOPHER	52	200	
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				FILING DATE 7/02/2001			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA		1,939,598	12/12/33	RAGSDALE	189	137	
AB		2,863,185	12/09/58	RIED i	20	92	
AC		4,567,710	2/04/86	REED	52	786.13	
AD		4,989,782	2/05/91	McKIE	238	10R	
AE		5,062,250	11/05/91	BUZZELLA	52	584	
AF		5,125,207	6/30/92	STROBL	52	747.1	
AG		5,343,665	9/06/94	PALMERSTON	52	580	
AH		5,737,893	4/14/98	ROSSITER	52	481.2	
AI		5,875,599	3/02/99	McGRATH	52	586.2	
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
AA		3,966,342	10/25/74	MORIYA	403	405	
AB		4,249,830	2/10/81	DAY	403	7	
AC		4,485,597	12/4/84	WORRALL O	52	36	
AD		4,549,832	10/29/85	STERL	403	264	
AE		4,926,611	5/22/90	FUNAKI	52	748.1	
AF		5,974,760	2/2/99	TINGLEY	52	729.1	
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AR		<u>TECHNICAL DATA CHARACTERIZING SPACE CONDITIONING in</u> <u>COMMERCIAL BUILDINGS</u> , SEZGEN et al, LAWRENCE BERKELY LABORATORIES, http://1161.gov/Info/37065-abstract.html Reference Table 5.1, Baseline Characteristics in Commercial Buildings, (U.S. Archives)					
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